

Patent

Customer No. 31561
Attorney Docket No.: 17957-US-PA
Application No.: 10/691,563

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Applicant : Han et al.
Application No. : 10/691,563
Filed : October 24, 2003
For : ORGANIC ELECTROLUMINESCENT PANEL,
ELECTRODE SUBSTRATE AND METHOD FOR
MANUFACTURING THE ELECTRODE SUBSTRATE
Examiner : GUHARAY, KARABI
Art Unit : 17957-US-PA

REQUEST FOR REFUND

+1-571-273-6500
(Via Fax: 2 + 6 pages)

Director of the U.S. Patent and Trademark Office
Office of Finance, Mail Stop 16
Suite 300, Carlyle Place building,
2051 Jamieson Avenue
Alexandria, VA 22314

Dear Sir,

Applicants hereby respectfully request a refund of the independent claims fee mistakenly calculated when filing the **Request for Continued Examination (RCE)** in the amount of USD \$400 for the above-identified application.

The Independent Claims Fee in excess of three as indicated in the **Appendix A. Request For Continued Examination (RCE) Transmittal** previously filed on July 27, 2006, was mistakenly calculated in the amount of USD \$400 due to a clerical error. The additional fee of USD\$400 for the two independent claims was actually deducted before in the event of filing the first Amendment and Response to Office Action in January 2006 that is marked a star sign to Deposit Account No. 50-2620 as shown in the **Appendix B. Deposit Account Statement for the month of January 2006**.

Actually there are only 4 independent claims in the subject application, two were originally filed and in January 2006 the other two were added (when filing the First Response); therefore the total previously filed independent claims were 4 (minus three is 1) and the fee USD\$200 was already deducted from the said deposit account on Jan. 23 as shown in the Appendix B and there should be no charges to the independent claims when submitting the Request for Continued Examination (RCE) in July.

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	Independent Claims	Charge
First Response filed in January	4 - 3 = 1	USD\$ 200
RCE filed in July	4 - 4 = 0 (mistakenly entered as "4 - 2 = 2")	USD\$ 400

However, on the second page of the Appendix C. Deposit Account Statement for the month of July 2006 to the Deposit Account No. 50-2620 that is marked a star sign; the excess fee for the Independent Claims in the amount of USD \$400 was deducted again due to the above identified clerical error. Therefore Applicants respectfully submit a request for a refund of the independent claims fee in the amount of USD \$400 for the above-identified application.

Enclosed herewith please find the following documents for your reference:

- ☒ Appendix A. Request For Continued Examination (RCE) Transmittal in 2 pages.
- ☒ Appendix B. Deposit Account Statement for the month of January 2006 to the Deposit Account No. 50-2620 in 2 pages.
- ☒ Appendix C. Deposit Account Statement for the month of July 2006 to the Deposit Account No. 50-2620 in 2 pages.

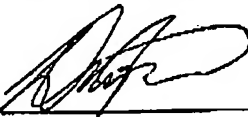
Thank you for your kind attention and assistance to this matter. Should you have any questions, please feel free to contact the undersigned.

Respectfully Submitted,
JIANQ CHYUN Intellectual Property Office

Date:

8/09/2006

By:



Ding Yu Tan
Registration No.: 58,812

Please send future correspondence to:
7F.-1, No. 100, Roosevelt Rd.,
Sec. 2, Taipei 100, Taiwan, R.O.C.
Tel: 886-2-2369 2800 Fax: 886-2-2369 7233 / 886-2-2369 7234
E-MAIL: USA@JCIPGroup.com.tw

Appendix A

Customer No.: 31561
 Docket No.: 17957-US-PA
 Application No.: 10/691,563

PATENT

Request For Continued Examination (RCE) Transmittal Address To: Commissioner for Patents Customer Service Window Mail Stop <u>RCE</u> Randolph Building 401 Dulany Street Alexandria, VA 22314	Application No.	: 10/691,563
	Filing Date	: October 24, 2003
	First Named Inventor	: Yu-Kai Han
	Group Art Unit	: 2879
	Examiner	: GUHARAY, KARABI
	Attorney Docket No.	: 17957-US-PA

This is a Request for Continued Examination (RCE) under 37 C.F.R. 1.114 of the above-identified application.

1. Submission required under 37 CFR §1.114.

- a. ☐ Previously submitted
 ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed.
 ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- b. ☒ Enclosed
 ☒ Preliminary Amendment in (8) page(s).
 ☐ Other Documents in () page(s).

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of ____ month(s).
- b. ☐ Associate Power of Attorney.

3. Fees are calculated as follows:

NUMBER FILED		CLAIMS FILED PRESENT HIGHEST NO. EXTRA PREVIOUSLY PAID FOR		RATE	ADDITIONAL FEE
Basic Fee				\$ 790	\$ 790
Total Claims	15	MINUS 21	-0	x \$ 50	= \$ 0
Independent Claims	4	MINUS 2	-2	x \$ 200	= \$ 400
[1] month(s) extension of time is hereby requested.				Time Extension Fee	= \$ 120
				Total:	\$ 1310

Customer No.: 31561
Docket No.: 17957-US-PA
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a. ☒ Please charge the fee in the amount of \$1310 as calculated above to account No. 50-2620 (Order No.: 17957-US-PA).

b. If the payment is not fully covered in response thereof, the Commissioner is authorized to charge any fees required in connection with the filing of this paper to account No.: 50-2620 (Order No.: 17957-US-PA).

Date :

July 27, 2006

Respectfully Submitted,



Ding Yu Tan

Registration No.: 58,812

Jianq Chyun Intellectual Property Office
7th Floor-1, No. 100
Roosevelt Road, Section 2
Taipei, 100
Taiwan
Tel: 011-886-2-2369-2800
Fax: 011-886-2-2369-7233
Email: Usa@jcipgroup.com.tw

Deposit Account Statement

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United States
Patent and
Trademark Office

Appendix B

Deposit Account Statement

Requested Statement Month: January 2006
 Deposit Account Number: 502620
 Name: JIANQ CHYUN INTELLECTUAL PROPERTY OFFICE
 Attention: LEE HUAI-LU
 Address: 7F-1, NO. 100
 City: TAIPEI
 State:
 Zip:
 Country: TAIWAN

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
01/03	1	10604851	10958-US-PA	1806	\$180.00	\$88,544.00
01/03	8	10064139	7765-US-PA	1501	\$1,400.00	\$87,144.00
01/03	10	10064139	7765-US-PA	1504	\$300.00	\$86,844.00
01/03	11	11162898	16411-US-PA	1202	\$350.00	\$86,494.00
01/03	12	11162898	16411-US-PA	1201	\$400.00	\$86,094.00
01/03	84	6709544	9223-US-PA, 13434-US-PA	8001	\$3.00	\$86,091.00
01/03	85	6946601	9223-US-PA, 13434-US-PA	8001	\$3.00	\$86,088.00
01/04	1	10709332	12889-US-PA	2201	\$100.00	\$85,988.00
01/04	2	10709332	12889-US-PA	2202	\$50.00	\$85,938.00
01/04	12	10710123	11748-US-PA	1501	\$1,400.00	\$84,538.00
01/04	13	10710123	11748-US-PA	1504	\$300.00	\$84,238.00
01/04	17	10350007	4459-0122P	1501	\$1,400.00	\$82,838.00
01/04	18	10350007	4459-0122P	1504	\$300.00	\$82,538.00
01/04	19	10248991	07636-US-PA	1501	\$1,400.00	\$81,138.00
01/04	20	10249991	07636-US-PA	1504	\$300.00	\$80,838.00
01/04	84	11163930	15085-US-PA	1011	\$300.00	\$80,538.00
01/04	85	11163930	15085-US-PA	1111	\$500.00	\$80,038.00
01/04	86	11163930	15085-US-PA	1311	\$200.00	\$79,838.00
01/04	87	11163930	15085-US-PA	1201	\$200.00	\$79,638.00
01/04	88	11163930	15085-US-PA	1202	\$550.00	\$79,088.00
01/04	98	10064644	9223-US-PA	1464	\$130.00	\$78,958.00
01/04	99	10248689	9022-US-PA	1801	\$790.00	\$78,168.00
01/04	100	10248689	9022-US-PA	1252	\$330.00	\$77,838.00
01/05	1	10709261	8905-US-PA-1	2251	\$60.00	\$77,778.00
01/05	59	10708171	12681-US-PA	1501	\$1,400.00	\$76,378.00
01/05	60	10708171	12681-US-PA	1504	\$300.00	\$76,078.00
01/05	61	10906659	12864-US-PA	1501	\$1,400.00	\$74,678.00
01/05	62	10906659	12854-US-PA	1504	\$300.00	\$74,378.00
01/05	98	10249369	9247-US-PA	1464	\$130.00	\$74,248.00
01/05	130	10064526	9284-US-PA	1464	\$130.00	\$74,118.00
01/06	43	10064095	9068-US-PA	1401	\$500.00	\$73,618.00
01/09	20	11163930	15085-US-PA	1051	\$130.00	\$73,488.00
01/10	1	10704626	4459-0156P	1251	\$120.00	\$73,368.00

Deposit Account Statement

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01/10 1	10854177	4459-0166PUS1	1814	\$130.00	\$73,238.00
01/10 87	10250172	10255-US-PA	1806	\$180.00	\$73,058.00
01/10 163	10709721	11481-US-PA	1501	\$1,400.00	\$71,658.00
01/10 164	10709721	11481-US-PA	1504	\$300.00	\$71,358.00
01/10 165	10904751	13813-US-PA	1501	\$1,400.00	\$69,958.00
01/10 166	10904751	13813-US-PA	1504	\$300.00	\$69,658.00
01/10 267	10604765	10806-US-PA	2501	\$700.00	\$68,958.00
01/10 268	10604765	10806-US-PA	1504	\$300.00	\$68,658.00
01/10 269	10709282	12627-US-PA	1501	\$1,400.00	\$67,258.00
01/10 270	10709282	12627-US-PA	1504	\$300.00	\$66,958.00
01/11 13	11163930	15085-US-PA	1201	\$200.00	\$66,758.00
01/12 63	10250200	10880-US-PA	1801	\$790.00	\$65,968.00
01/17 10	10709007	10956-US-PA	1501	\$1,400.00	\$64,568.00
01/17 11	10709007	10956-US-PA	1504	\$300.00	\$64,268.00
01/17 12	10707015	10542-US-PA	1501	\$1,400.00	\$62,868.00
01/17 13	10707015	10542-US-PA	1504	\$300.00	\$62,568.00
01/18 30	10905677	14644-US-PA	8007	\$20.00	\$62,548.00
01/18 182	10908781	13000-US-PA-0P	1501	\$1,400.00	\$61,148.00
01/18 183	10908781	13000-US-PA-0P	1504	\$300.00	\$60,848.00
01/19 21	10707609	10073-US-PA	1601	\$1,400.00	\$59,448.00
01/19 22	10707609	10073-US-PA	1504	\$300.00	\$59,148.00
01/19 23	10710401	11571-US-PA	1501	\$1,400.00	\$57,748.00
01/19 24	10710401	11571-US-PA	1504	\$300.00	\$57,448.00
01/19 25	10711624	9919-US-PA-1	1501	\$1,400.00	\$56,048.00
01/19 26	10711624	9919-US-PA-1	1504	\$300.00	\$55,748.00
01/19 27	10710074	11178-US-PA	2501	\$700.00	\$55,048.00
01/19 28	10710074	11178-US-PA	1504	\$300.00	\$54,748.00
01/23 3	10691563	4459-0153P	1201	\$200.00	\$54,548.00
01/23 5	10707359	11491-US-PA	1501	\$1,400.00	\$53,148.00
01/23 6	10707359	11491-US-PA	1504	\$300.00	\$52,848.00
01/23 24	10707445	12298-US-PA	1501	\$1,400.00	\$51,448.00
01/23 25	10707445	12298-US-PA	1504	\$300.00	\$51,148.00
01/23 26	10250212	10333-US-PA	1501	\$1,400.00	\$49,748.00
01/23 27	10250212	10333-US-PA	1504	\$300.00	\$49,448.00
01/23 30	10084797	8248-US-PA	1501	\$1,400.00	\$48,048.00
01/23 31	10064797	8248-US-PA	1504	\$300.00	\$47,748.00
01/24 70	10707686	11844-US-PA	1801	\$790.00	\$46,958.00
01/24 71	10707686	11844-US-PA	1251	\$120.00	\$46,838.00
01/24 97	10707677	12089-US-PA	1801	\$790.00	\$46,048.00
01/24 98	10707677	12089-US-PA	1252	\$450.00	\$45,598.00
01/24 239	10711838	14001-US-PA	1484	\$130.00	\$45,468.00
01/25 17	10064644	9223-US-PA	1462	\$400.00	\$45,068.00
01/25 70	10710158	13437-US-PA	1501	\$1,400.00	\$43,668.00
01/25 71	10710158	13437-US-PA	1504	\$300.00	\$43,368.00
01/26 15	10859201	4413-0144PUS1	2501	\$700.00	\$42,668.00
01/26 16	10859201	4413-0144PUS1	1504	\$300.00	\$42,368.00
01/26 17	10388513	4459-0130P	1501	\$1,400.00	\$40,968.00
01/26 18	10388513	4459-0130P	1504	\$300.00	\$40,668.00
01/26 19	10605802	10233-US-PA	1501	\$1,400.00	\$39,268.00
01/26 20	10605802	10233-US-PA	1504	\$300.00	\$38,968.00
01/26 31	10707109	12619-US-452	8021	\$40.00	\$38,928.00
01/26 419	11162791	16801-US-PA	8007	\$20.00	\$38,908.00
01/26 421	11161305	13999-US-PA	8007	\$20.00	\$38,888.00
01/26 425	11162114	16790-US-PA	8007	\$20.00	\$38,868.00

Deposit Account Statement

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**United States
Patent and
Trademark Office**

Appendix C.

**Deposit Account Statement**

Requested Statement Month: July 2006
Deposit Account Number: 502620
Name: JIANQ CHYUN INTELLECTUAL PROPERTY OFFICE
Attention: LEE HUAI-LU
Address: 7F-1, NO. 100
City: TAIPEI
State:
Zip:
Country: TAIWAN

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/03	98	10707163	10659-US-PA	1801	\$780.00	\$95,731.00
07/03	99	10707163	10659-US-PA	1251	\$120.00	\$95,611.00
07/03	144	11308824	14665-US-PA-OP	8007	\$60.00	\$95,551.00
07/03	145	11308238	18350-US-PA	8007	\$20.00	\$95,531.00
07/05	41	10605916	10939-US-PA	1202	-\$300.00	\$95,831.00
07/05	42	10605916	10939-US-PA	1202	\$200.00	\$95,631.00
07/06	1	10907050	14859-US-PA	1501	\$1,400.00	\$94,231.00
07/06	2	10907050	14859-US-PA	1504	\$300.00	\$93,931.00
07/06	3	10905085	14405-US-PA	2501	\$700.00	\$93,231.00
07/06	4	10908406	13115-US-PA	1814	\$130.00	\$93,101.00
07/06	4	10905085	14405-US-PA	1504	\$300.00	\$92,801.00
07/06	5	09859542	KAOS3005/EM/6793	1501	\$1,400.00	\$91,401.00
07/06	5	10605345	10232-US-PA	1251	\$120.00	\$91,281.00
07/06	6	09859542	KAOS3005/EM/6793	1504	\$300.00	\$90,981.00
07/06	7	10805402	11141-US-PA	1501	\$1,400.00	\$89,581.00
07/06	8	10805402	11141-US-PA	1504	\$300.00	\$89,281.00
07/06	9	11161952	15672-US-PA	1501	\$1,400.00	\$87,881.00
07/06	10	11161952	15672-US-PA	1504	\$300.00	\$87,581.00
07/06	164	10710637	11514-US-PA	1501	\$1,400.00	\$86,181.00
07/06	165	10710637	11514-US-PA	1504	\$300.00	\$85,881.00
07/06	166	10711622	12595-US-PA	2501	\$700.00	\$85,181.00
07/06	167	10711622	12595-US-PA	1504	\$300.00	\$84,881.00
07/10	61	10709637	11121-US-PA	1806	\$180.00	\$84,701.00
07/10	131	10428432	9807-US-PA	8021	\$40.00	\$84,661.00
07/11	10	11308881	18604-US-PA	1202	\$100.00	\$84,561.00
07/13	15	11164063	16319-US-PA	1501	\$1,400.00	\$83,161.00
07/13	16	11164063	16319-US-PA	1504	\$300.00	\$82,861.00
07/13	17	10249559	10719-US-PA	1501	\$1,400.00	\$81,461.00
07/13	18	10249559	10719-US-PA	1504	\$300.00	\$81,161.00
07/13	76	10709332	12889-US-PA	1801	\$790.00	\$80,371.00
07/13	76	10709332	12889-US-PA	1251	\$120.00	\$80,251.00
07/19	80	10904428	14017-US-PA	1801	\$790.00	\$79,461.00
07/19	81	10904428	14017-US-PA	1251	\$120.00	\$79,341.00

Deposit Account Statement

07/19 82	10708372	12680-US-PA	1801	\$790.00	\$78,551.00
07/19 83	10708372	12680-US-PA	1251	\$120.00	\$78,431.00
07/20 10	10905402	12297-US-PA	1501	\$1,400.00	\$77,031.00
07/20 11	10905402	12297-US-PA	1504	\$300.00	\$76,731.00
07/20 24	10709606	12239-US-PA	1501	\$1,400.00	\$75,331.00
07/20 25	10709606	12239-US-PA	1504	\$300.00	\$75,031.00
07/20 55	10248251	9193-US-PA	1482	\$400.00	\$74,631.00
07/20 179	10604588	10382-US-PA	1806	\$180.00	\$74,451.00
07/21 4	10707651	12392-US-PA	1251	\$120.00	\$74,331.00
07/21 9	10709057	11122-US-PA	1501	\$1,400.00	\$72,931.00
07/21 10	10709057	11122-US-PA	1504	\$300.00	\$72,631.00
07/21 13	11160523	11039-US-PA-1	1501	\$1,400.00	\$71,231.00
07/21 14	11160523	11039-US-PA-1	1504	\$300.00	\$70,931.00
07/21 15	10707874	9945-US-PA-1	1501	\$1,400.00	\$69,531.00
07/21 16	10707874	9945-US-PA-1	1504	\$300.00	\$69,231.00
07/21 16	10907104	15817-US-PA	1501	\$1,400.00	\$67,831.00
07/21 17	10907104	15817-US-PA	1504	\$300.00	\$67,531.00
07/26 95	10707081	10585-US-PA	1801	\$790.00	\$66,741.00
07/27 24	10710488	10304-US-PA	1501	\$1,400.00	\$65,341.00
07/27 25	10710488	10304-US-PA	1504	\$300.00	\$65,041.00
07/27 26	10907889	14854-US-PA	1501	\$1,400.00	\$63,641.00
07/27 27	10907889	14854-US-PA	1504	\$300.00	\$63,341.00
07/27 28	10708425	10367-US-PA	1501	\$1,400.00	\$61,941.00
07/27 29	10708425	10367-US-PA	1504	\$300.00	\$61,641.00
07/27 100	10891563	17957-US-PA	1801	\$790.00	\$60,851.00
X 07/27 101	10691563	17957-US-PA	1201	\$400.00	\$60,451.00
07/27 102	10891563	17957-US-PA	1251	\$120.00	\$60,331.00
07/28 2	11160133	14969-US-PA	1464	\$130.00	\$60,201.00
07/28 26	10708684	10545-US-PA	1801	\$790.00	\$59,411.00
07/28 1565	11460633	09005-US-PA-1	1011	\$300.00	\$59,111.00
07/28 1566	11460633	09005-US-PA-1	1111	\$500.00	\$58,611.00
07/28 1567	11460633	09005-US-PA-1	1311	\$200.00	\$58,411.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$96,521.00	\$38,410.00	\$300.00	\$58,411.00

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JUL 26 2006

Customer No.: 31561
Docket No.: 17957-US-PA
Application No.: 10/691,563

PATENT

Request For Continued Examination (RCE) Transmittal Address To: Commissioner for Patents Customer Service Window Mail Stop RCE Randolph Building 401 Dulany Street Alexandria, VA 22314	Application No.	: 10/691,563
	Filing Date	: October 24, 2003
	First Named Inventor	: Yu-Kai Han
	Group Art Unit	: 2879
	Examiner	: GUHARAY, KARABI
	Attorney Docket No.	: 17957-US-PA

This is a Request for Continued Examination (RCE) under 37 C.F.R. 1.114 of the above-identified application.

1. Submission required under 37 CFR §1.114.

- a. ☐ Previously submitted
 ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed.
 ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- b. ☒ Enclosed
 ☒ Preliminary Amendment in (8) page(s).
 ☐ Other Documents in () page(s).

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of ____ month(s).
- b. ☐ Associate Power of Attorney.

3. Fees are calculated as follows:

NUMBER FILED	CLAIMS FILED HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Basic Fee			\$ 790	\$ 790
Total Claims	15	MINUS 21 -0	x \$ 50	- \$ 0
Independent	4	MINUS 2 -2	x \$ 200	- \$ 400
Claims				
[1] month(s) extension of time is hereby requested.			Time Extension Fee	- \$ 120
			Total:	\$ 1310

07/27/2006 TL0111 00000078 502620 10691563

Adjustment Date: 09/06/2006 SDIRETA1
 07/27/2006 TL0111 00000078 502620 10691563
 PAGE 2000 RCVD AT 7/28/2006 10:20:19 PM [Eastern Daylight Time] * SVR:USPTO-EFAXF-3/18 * DNS:273300 * CDD: * DURATION (min):00:02

01 FC:1881 798.00 DA
 02 FC:1281 488.00 DA
 03 FC:1281 128.00 DA